



Sheet 1 of 1

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO.

1584.1005

APPLICATION NO.

10/771,409

## LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

FIRST NAMED INVENTOR

Michael Brand

FILING DATE

February 5, 2004

GROUP ART UNIT

2644

## U.S. PATENT DOCUMENTS

*EXAMINE R INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
/AAA/	AA	2002/0184016	12/5/02	Hartley et al.			
/AAA/	AB	5,550,930	8/27/96	Berman et al.			
/AAA/	AC	2002/0173955	11/21/02	Reich			
	AD						
	AE						
	AF						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO	
	AG							
	AH							
	AI							
	AJ							

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

TRANSLATION  
YES NO

	AM	Brand, Matthew, "Structure and Parameter Learning via Entropy Minimization, with Applications to Mixture and Hidden Markov Models".		
		Proceedings of IEEE International Conference on Acoustics, Speech and Signal Processing, March 1999, pp. 1749-1752		

EXAMINER

/Angela A Armstrong/

DATE CONSIDERED

08/24/2007

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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APPLICATION NO.

(Unassigned)

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FIRST NAMED INVENTOR

Michael Brand

FILING DATE

(Concurrently)

GROUP ART UNIT

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
/AAA/	AA	5,625,748	4/29/97	McDonough et al.			
/AAA/	AB	6,397,181	5/28/02	Li et al.			
	AC						
	AD						
	AE						
	AF						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO
	AG							
	AH							
	AI							
	AJ							
	AK							
	AL							

## OTHER REFERENCES (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

	AM	
	AN	
	AO	

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